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PTO-1390 (Rev. 07-2005)
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| | ANSMITTAL LETTER TO | ATTORNEY'S DOCKET NUMBER CU-4991. WWP | | | | | | | |
|---|---|---|----------------------------------|--|--|--|--|--|--|
| | DESIGNATED/ELECTED ICERNING A SUBMISSIC | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | | |
| | TIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | 10/589,323 PRIORITY DATE CLAIMED | | | | | | |
| | <u>r/cn2006/000044</u> | 12 January 2006 | 12 January 2005 | | | | | | |
| | TITLE OF INVENTION DISTRIBUTED BASE STATION SYSTEM AND METHOD FOR NETWORKING THEREOF AND BASE BAND UNIT | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Wangjun WU et al | | | | | | | | | |
| Applicant | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | |
| 1. | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 2. X - | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 3. 🔲 🗆 | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| 4. | The US has been elected (Article 31). | | | | | | | | |
| 5. | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | b. has been communicated by the International Bureau. | | | | | | | | |
| _ | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. \square | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | |
| | a. is attached hereto. | | | | | | | | |
| | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| | a are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | b. have been communicated by the International Bureau. | | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
| | d. have not been made and will not be made. | | | | | | | | |
| 8. | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. [X] | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | | |
| 11. X | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | |
| 12. 🔲 | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | |
| 13. | A preliminary amendment. | | | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. 🔲 | A substitute specification. | | | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | |
| 18. | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 19. 🔲 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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| 10/589,323 | | | T/Cn2006/000044 CU-4991 WP | | | |
|---|--|---|--|-----------------------------|------------------------|-------------|
| 20. Othe | er items or informat | ion: | | | | |
| The fo | ollowing fees have I | peen submitted | | | CALCULATIONS | PTO USE ONL |
| | • | | | \$300 | \$ | 7.00020.12 |
| 22. | amination fee (37 C | FR 1.492(c)) | · | | | |
| by IPE | VUS indicates all c | laims satisfy prov | rnational preliminary examin risions of PCT Article 33(1)-(| \$)\$O | \$ | |
| If the written op IPEA/U Search fee (37 International Se previous | arch fee (37 CFR 1 inion of the ISA/US indicates all clair CFR 1.445(a)(2)) h tional Search Report prepaisly communicated to the search Report propais | \$ | | | | |
| | TOTAL OF 21, 2 | | | | | |
| sequen electror | ee for specification ce listing in complianic medium) (37 CF | and drawings file ance with 37 CFR R 1.492(j)). | ed in paper over 100 sheets (2.1.821(c) or (e) or computer s of paper or fraction thereof | program listing in an | | |
| Total Sheets | otal Sheets Extra Sheets Number of each add | | additional 50 or fraction up to a whole number) | RATE | | |
| - 100 = | /50 = | L | | × \$250 | \$ | 1 |
| | | | ch fee, examination fee, or th ge (37 CFR 1.492(h)). | e oath or declaration | \$ 130.00 | |
| CLAIMS | NUMB | ER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claim | s | - 20 = | | × \$ 50 | \$ | |
| Independent cla | ims | - 3 = | | x \$200 | \$ | |
| MULTIPLE DEF | PENDENT CLAIM(S | \$ | | | | |
| | | | | E CALCULATIONS = | \$ | |
| Applicant c | laims small entity st | tatus. See 37 CF | R 1.27. Fees above are redu | iced by ½. | | |
| | | | | SUBTOTAL = | \$ 130.00 | |
| | of \$130.00 for furnitions date (37 CFR 1.49) | | translation later than 30 mor | nths from the earliest + | \$ | |
| | | | | L NATIONAL FEE = | \$ | |
| Fee for recording by an appropria | g the enclosed ass te cover sheet (37 t | ignment (37 CFR CFR 3.28, 3.31). | 1.21(h)). The assignment m \$40.00 per property | ust be accompanied + | \$ | - |
| 2007 LLANDGRA | 00000014 120400 | 10589323 | TOTAL | FEES ENCLOSED = | \$ 130.00 | |
| 1617 13 | 30.00 DA | | | | Amount to be refunded: | \$ |
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| a. A check in the amount of \$ to cover the abo | ove fees is enclosed. | | | | | | |
|---|--|--|--|--|--|--|--|
| b. X Please charge my Deposit Account No. 12-0400 in the amount of A duplicate copy of this sheet is enclosed. | Please charge my Deposit Account No. $\underline{12-0400}$ in the amount of $\$^{\underline{130.00}}$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | |
| c. $\boxed{\textbf{X}}$ The Commissioner is hereby authorized to charge any additional fees w Account No. $\underline{12-0400}$. A duplicate copy of this sheet is enclosed. | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0400. A duplicate copy of this sheet is enclosed. | | | | | | |
| Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | |
| Customer Number 26530 Ladas & Parry 224 South Michigan Avenue Chicago, Illinois 60604 (312) 427-1300 | SIGNATURE Brian W.Hameder NAME 45613 REGISTRATION NUMBER February 9, 2007 | | | | | | |

DOCKET: CU-4991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wangjun WU et al.

Serial No.: 10/589,323 Group Art Unit:

Filed: August 14, 2006 Examiner:

For: DISTRIBUTED BASE STATION SYSTEM AND METHOD FOR NETWORKING

THEREOF AND BASE BAND UNIT

THE COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date: February 9, 2007

Signature of Attorney Brian W. Hameder Ladas & Parry LLP

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Reg. No. 45613